

**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

☐

Declaration
Submitted
With Initial
Filing

OR

☒

Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16 (e))
required)

Attorney Docket Number

17357.01302US

First Named Inventor

Nelson, Thomas J.

COMPLETE IF KNOWN

Application Number

10/724,833

Filing Date

December 2, 2003

Art Unit

Not yet assigned

Examiner Name

Not yet assigned

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**Artificial Low-Density Lipoprotein Carriers For Transport Of Substances Across
The Blood-Brain Barrier**

(Title of the Invention)

the specification of which

☐

is attached hereto

OR

☒

was filed on (MM/DD/YYYY)

12/2/2003

as United States Application Number or PCT International

Application Number

10/724,833

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.


Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				Yes	No
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]


This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

DECLARATION — Utility or Design Patent Application

Direct all correspondence to: <input type="checkbox"/> Customer Number: <input type="text"/>				OR <input checked="" type="checkbox"/> Correspondence address below	
Name Einar Stole, Ph. D. c/o Milbank, Tweed, Hadley & McCloy LLP					
Address International Square Building 1825 Eye Street, N.W., Suite 1100					
City Washington			State D.C.		ZIP 20006
Country U.S.A.		Telephone (202) 835-7500		Fax (202) 835-7586	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.					
NAME OF SOLE OR FIRST INVENTOR:			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle (if any)) Thomas			Family Name or Surname Nelson		
Inventor's Signature 				Date 6/07/2004	
Residence: City Rockville		State Maryland		Country U.S.A.	
				Citizenship US	
Mailing Address 5650 Hogen Hill Terrace					
City Rockville		State Maryland		ZIP 20853	
				Country USA	
NAME OF SECOND INVENTOR:			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle (if any)) Alessandro			Family Name or Surname Quattrone		
Inventor's Signature				Date	
Residence: City Prato		State		Country Italy	
				Citizenship Italy	
Mailing Address Via del Cilianuzzo 97E					
City Prato		State		ZIP	
				Country Italy	
<input type="checkbox"/> Additional inventors or a legal representative are being named on the _____ supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.					

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NAME OF SOLE OR THIRD INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any]) Daniel		Family Name or Surname Alkon	
Inventor's Signature 			Date June 7, 2004
Residence: City Bethesda	State Maryland	Country U.S.A.	Citizenship US
Mailing Address 2 Seven Locks Court			
City Bethesda	State Maryland	ZIP 20817-1435	Country USA
NAME OF FOURTH INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature			Date
Residence: City	State	Country	Citizenship
Mailing Address			
City	State	ZIP	Country
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PTO/SB/01 (08-03)
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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)		Attorney Docket Number	17357.01302US
		First Named Inventor	Nelson, Thomas J.
		COMPLETE IF KNOWN	
		Application Number	10/724,833
		Filing Date	December 2, 2003
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Inventor's Signature			Date	
Residence: City Rockville	State Maryland	Country U.S.A.	Citizenship US	
Mailing Address 5650 Hogen Hill Terrace				
City Rockville	State Maryland	ZIP 20853	Country USA	
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle (if any)) Alessandro		Family Name or Surname Quattrone		
Inventor's Signature <i>Alessandro Quattrone</i>			Date 06/09/04	
Residence: City Prato	State	Country Italy	Citizenship Italy	
Mailing Address Via del Cilianuzzo 97E				
City Prato	State	ZIP 53100	Country Italy	
<input type="checkbox"/> Additional inventors or a legal representative are being named on the supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.				

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